



GLOBALINKS
SECURITIES AND STOCKS, INC.

Member Philippine Stock Exchange Inc.
Unit 1801 PSE Tower, 5th Avenue corner 28th Street,
Bonifacio Global City, Taguig City, Philippines 1634

Office: +63 (02)8478-3357
Exchange: +63 (02) 8839-2319 / +63 (02) 8839-2805

To: _____

Subject: Completion of Customer Account Information Form for Individual/ Retail Accounts

Pursuant to the Implemented Rules and Regulations of the Philippine Securities & Exchange Commission, we are requiring all clients to completely fill out the attached Customer Account Information Form and Specimen Signature Card. If more than one(1) person is named in the account information, each party is required to fill up the Client Account Information Form and provide us valid government issued Identification Cards(ID) SSS, GSIS, BIR, TIN, PRC and Employment ID where at least three(3) specimen signatures must be affixed in the provided photocopy.

Acceptable IDs include valid passport, driver's license, SSS, GSIS & BIR digital cards, PRC card and employee ID and other valid IDs issued by the government.



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CUSTOMER ACCOUNT INFORMATION FORM (CAIF)

Customer Code: _____

PSE Code: _____

Confirmation of orders:

☐ Courier ☐ Email ☐ Fax

Agent Code: _____

Account Type: ☐ Traditional ☐ Online
Ownership: ☐ Individual ☐ Joint
Credit Facility: ☐ Cash ☐ Discretionary

Account Name: _____
Last Name First Name Middle Name

Gender: ☐ Male ☐ Female Civil Status: ☐ Single ☐ Married ☐ Separated ☐ Widowed

Telephone #/ Mobile #: _____ Email: _____ Name of Spouse: _____

Occupation/Position: _____ TIN: _____ SSS/GSIS #: _____

Employer/Business: _____
Employer Business Address Tel No.

Date of Birth: _____ Place of Birth: _____ Citizenship: _____

Mailing Address: _____
No. & Street Brgy/subdivision City/Province Zip/Postal Code

Permanent Address: _____
(Fill out if different from mailing address) No. & Street Brgy/subdivision City/Province Zip/Postal Code

Nature of Work/Business: _____ Is employer a registered broker/dealer? ☐ Yes ☐ No

Name of Attorney-in-fact, if applicable: _____

Officer or Director of an Exchange listed Company? ☐ No ☐ Yes, Company Name: _____

| Bank Account Name | Bank | Branch | Account Number |
|-------------------|------|--------|----------------|
| | | | |

Investment Objective: ☐ Speculation ☐ Growth ☐ Long Term Investment ☐ Preservation of Capital
☐ Others: _____ (Please Specify)

Source of Funds: ☐ Employment ☐ Business ☐ Pension ☐ Others: _____ (Please Specify)

Annual Income: ☐ <Php 1 Million ☐ <Php 5 Million ☐ <Php 10 Million ☐ <Php 25M ☐ Above Php 25M

Assets: ☐ <Php 1 Million ☐ <Php 5 Million ☐ <Php 10 Million ☐ <Php 25M ☐ Above Php 25M

Net worth: ☐ <Php 1 Million ☐ <Php 5 Million ☐ <Php 10 Million ☐ <Php 25M ☐ Above Php 25M

Are duplicate confirmations required? ☐ No ☐ Yes

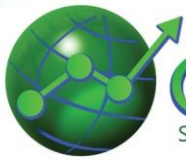
Identify to whom to be sent and relationship to client: _____

Account with Other Broker/Dealers in the Philippines? ☐ No ☐ Yes Broker: _____

Signature of Customer _____ Date _____

Name and Signature of Person Authorize to exercise discretion in account _____ Date _____

Name and Signature of Salesman and/or Referrer introducing the account _____ Date _____



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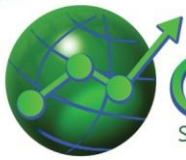
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CLIENT AGREEMENT

In consideration of your acting as the Broker for the named account, I/We (as the client) hereby consent and agree that:

1. The signature(s) written on this account opening form is/are correct and official signature (s) of the above- named account and the same may be used for verification of the said signature(s) at all times and for all purposes.
2. Each party to a common account is a principal, with joint and several liability and is privileged to operate same separately and independent of the other and to pledge and/or withdraw all funds, assets and securities unless otherwise specified in writing by the parties to such common account.
3. The Client hereby represents and warrants as follows: (i) he/she is of legal age, has full capacity and is not otherwise disqualified from executing this agreement and complying with the terms and conditions thereof. If the Client is a corporate applicant, the Client further represents and warrants that (ii) it is a corporation duly organized, validly existing and in good standing under the laws of the Philippines and under the laws of its origin (iii) the signatories and the signature(s) appearing on this form duly authorized signatories of the corporation and (iv) in case of foreign corporation, the execution, delivery, performances and observance of this agreement by the foreign corporation and by the Globalinks Securities & Stocks, Inc., hereinafter referred to as the "Broker" will not violate the laws of their jurisdiction and the laws of their jurisdiction allow them enter into and perform this agreement.
4. Orders for the purchase or sale of securities may be made by Client in writing or verbally, by phone, fax, e-mail, short messaging service (SMS) and other forms of communication upon which the Broker is hereby authorized to rely and act in accordance therewith. Broker shall exert its best effort to comply with Client's order and any action taken by Broker pursuant thereto shall bind Client when a duly signed confirmation notice of such order is issued and delivered or sent by fax, email or other forms of communication to Client at its given address or contact number.
5. In BUY orders, Client shall within three (3) days from date of purchase pay the cost of securities bought or ordered by Broker in behalf of the Client. Unless instructed otherwise by Client, the securities so purchased shall be made out as "street certificates" in the name of Broker. In SELL orders, the Client should have the securities subject of the sell order in custody with the Broker in scrip less form, lodged in the Broker's account with the Philippine Central Depository within three (3) days from the date of sale. The delivery of stock certificate in physical form will not constitute valid delivery for purpose of settlement of the sell order. Clients who have the intention of selling stocks in certificate form should have them cleared by the Transfer Officer through the Broker seven (7) days prior to selling.
6. (Any and all securities or contracts relating thereto, now or hereafter held or carried by the Broker in any of the Clients account(s) (either individually or jointly with others) are to be held by the Broker as security or collateral for the payment of any liability to the Broker in any of said account(s), with the right on the part of the Broker to transfer monies or securities from any one account(s) to another when in the sole and exclusive judgment of the Broker such transfer maybe necessary, and all such Securities may from time to time, and without notice be pledged by the Broker either separately or in common with other securities, for any amount due upon the Client's account(s), without the Broker retaining in its possession or control for delivery a like amount of similar securities.
7. Failure to make payment or deliver the Securities by due date shall give right to the Broker, without due notice to customer, to liquidate the transaction by selling the shares or any shares in its possession which the Broker carries for the Client and applying its proceeds to the unsettled transaction. Any amount due from the Client to the Broker shall bear interest at a rate which shall be determined by the Broker and which rate shall be equivalent to the cost of the funds of the broker for maintaining such balances.
8. Whenever in its sole discretion, the Broker considers it necessary for its own protection in the event that a petition for bankruptcy of for the appointment of a receiver is filed by or against the Client(s) account, or in the event of the Clients death, the client hereby specifically authorizes and empower the Broker with the right to sell any or all securities in the Clients account(s) (whether carried individually or jointly with others) and herein pledges as collateral for the payment of any liability to the Broker in said account(s) to buy any and all securities to cover for any short-selling in such account(s), to transfer monies or securities from any one the Client's account(s) to another, and to close any and all outstanding obligations or contracts, all without demand for security or addition security, notice of sale or purchase, or other notice or advertisement; it being its discretion and in the case of a sale at public auction or on a stock exchange, the Broker may be the purchase for its own account. It is understood that a prior demand, notice or call or notice of the time and the place of such sale or purchase may be made by the Broker, it being further understood that the Client shall at all times be liable for



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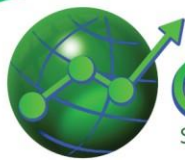
the payment of the indebtedness or unpaid balances owing in any of the Client (s) account(s) together with interest, other acts herein provided, and that the Client shall remain liable for any deficiency remaining in such account(s) in the event of liquidation.

9. For the purpose of selling, buying or performing other acts pursuant to the above paragraph, the Client hereby irrevocably appoints Broker, its successor or assigns, as its attorney-in-fact with full power to sell, buy or act for any accounts of Client (whether carried individually or jointly with others) and herein pledged, to be transferred to the name of Broker or its nominee. All acts and things, which Broker may do or cause to be done in accordance with the power herein conferred upon Broker, are hereby deemed ratified by Client.
10. It is agreed that in the event of any controversy or litigation arising out of this Agreement, the Broker's books shall be final and conclusive evidence of the amount due to broker. It is further agreed that this Agreement and any controversy arising thereunder, shall be subject to the provisions of the Philippine Constitution and the Rules and Regulations of the Philippines Stock Exchange, the Securities and Exchange Commission, the Philippine Central Depository and the Securities Clearing Corporation of the Philippines (SCCP), the Capital Markets Integrity Corporation and Customs and Usages of the Foregoing. It being understood that the said provisions, customs and usage shall be for all intents and purpose be deemed an integral part of this Agreement. Furthermore, in the event of any controversy or litigation arising out of this Agreement, the Broker shall be entitled to reasonable compensation for attorney's fees and costs of collection, which shall not in any event be less than twenty percent (20%) of the total amount of the Client's indebtedness then outstanding to the Broker. Finally, venue of any litigation shall be in proper Court of Makati, the parties hereby waiving any other venue.
11. It is agreed that all communications, whether by mail, telephone, messenger or otherwise sent to the Client at the address as appearing in the Broker's record constitute personal delivery to the Client: it being understood that in the event of the Client's failure to notify the Broker in writing of any change of address, telephone numbers, email address, all communications shall be directed to said last known address appearing in Broker's records. It is further agreed that all communications sent to the Client's indicated address shall be conclusive as to their correctness, in the absence of any written objection received by the Broker within twenty-four (24) hours from the time the communication was sent to the said address.
12. All charges, taxes and fees that is collected and will be collected from Client for execution of orders and maintenance of his/her account shall be disclosed upon signing this agreement.
13. Dormant accounts with no buy or sell activities for over two (2) years will be charged a service fee which shall be computed accordingly.
14. The Broker and Client undertake to notify each other, in the manner of giving notices, instructions or other communications of any material change in the information provided in connection with this Agreement by either the Broker or Client, as soon such material information is known to either party.
15. If any one or more term, stipulation or provision in this Agreement or any other document here in after executed in connection here with shall be deemed illegal, invalid or unenforceable in any respect, the remaining provisions shall not in any way be affected and shall remain fully effective.
16. This Agreement shall take effect immediately and shall continue to be in full force and effect until written notice of revocation is received by the other party. In case of such revocation, the terms here of shall continue to be valid and binding as to transactions entered into prior to revocation. This Agreement shall inure in favor of the Broker's successors and assigns and shall continue until signed notice of revocation is received.
17. This Agreement may be terminated by either party provided all cash and securities are properly transferred to the costumer and all cash and securities liabilities of the customer to the broker shall be fully settled.
18. RISK DISCLOSURE: The Client is aware that the price of securities can and does fluctuate and any individual security may experience upward or downward movements and may even become valueless. There is an inherent that losses may be incurred rather than profit made as a result of buying and selling securities.
19. The CLIENT is aware and hereby must be knowledgeable of the Rules and Regulations of the Philippine Constitution and the Rules and Regulations of the Philippine Stock Exchange (PSE), the Securities and Exchange Commission (SEC), the Philippine Central Depository (PDTC), the Anti-Money Laundering Act (AMLA), the Securities Clearing of the Philippines (SCCP), the Capital Markets Integrity Corporation (CMIC) and other rules may deemed application with regard to trading of securities listed in the Philippines.
20. This Agreement shall take effect immediately and shall continue to be in full force and effect until a written notice of revocation is received by the other party of at least thirty (30) days. The transactions entered into shall be valid and take effect prior to the revocation of the Agreement is received.
21. The Agreement may be terminated by either party but the cash and securities must be properly accounted for, any unpaid obligation must be settled before payment.

Karyl S. Lucanas

All notices shall be sent to: Settlement Officer / Data Privacy Officer

Email: settlements@globalinks.com.ph



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SPECIMEN SIGNATURE

- ☐ Mr
☐ Mrs
☐ Ms

LAST NAME

FIRST NAME

M.I.

Please sign twice:

(a) _____

(b) _____

(a) _____

(b) _____

**Sign (a) if Individual account, Sign (a) and (b) if Joint Account*

Signature Verified by: Globalinks Securities & Stocks, Inc.

By: _____

I/We hereby certify that I/we have read and understood the contents of this agreement and the risk disclosure statement as stated above. I/we have participated in an interview or meeting or meeting conducted by an associated person/salesman/agent designated by GLOBALINKS SECURITIES AND STOCKS, INC. (GSSI) at which meeting/interview compliance matters relevant to my/our investment activities as a customer was discussed. I/we undertake to promptly notify GSSI in writing in the event of any material change to the information provided in this agreement.

CLIENT SIGNATURE OVER PRINTED NAME

MAILING ADDRESS

ACKNOWLEDGED AND ACCEPTED BY:
GLOBALINKS SECURITIES AND STOCKS, INC

APPROVED BY:
(Name and Signature)

Settlement

Licensed Salesman

Compliance Officer

President/Nominee



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FATCA Information for Retail/Online Clients:

Name:

| Last Name | First Name | Middle Name |
|-----------|------------|-------------|
|-----------|------------|-------------|

Place of Birth: _____ US Citizen: ☐ No ☐ Yes

U.S. Address, if any: ☐ No ☐ Yes

(If Yes, please indicate whether Present, Permanent, Mailing) _____

U.S. Phone Number, if any: ☐ No ☐ Yes

(If Yes, please indicate including code and area code): _____ + _____ + _____

Country Code + Area Code + Phone Number

Did the customer stay in the U.S. for 183 days or more for the last 3 years? ☐ No ☐ Yes

This should be computed as follows under Section 7701(b)(3) of the US Tax Code:

- 1. All the days present in the current year, and***
- 2. 1/3 of the days present in the first year before the current year, and***
- 3. 1/6 of the days present in the second year before the current year.***

Is U.S. Tin Available? ☐ No ☐ Yes

(If Yes, please indicate U.S. TIN): _____

I/We, hereby represents that all information provided to Globalinks Securities & Stocks, Inc. (GLINKS) are true and correct to the best of my/our knowledge. I/We, agree to promptly notify GLINKS of any change with respect to the foregoing information, and to provide such further information as GLINKS may require.

Client Signature over Printed Name / Date



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Dear Valued Client,

Your trust and confidence is paramount to us. To ensure Globalinks Securities and Stocks, Inc. (GSSI) remains a trustworthy partner, we are making every effort to comply fully with the existing laws and regulations that govern us.

In compliance with RA-10173 also known as the Philippine Data Privacy Act of 2012, whose implementing Rules and Regulations took effect on September 9, 2016, GSSI is sending you this letter to update you and secure your consent. Please send back the duly signed-off form either through your authorized representative or a scanned copy via email at admin@globalinks.com.ph.

Should you have questions or concerns about the Data Privacy consent form, please call 8478-3943 or email us at admin@globalinks.com.ph.

Sincerely yours,

Globalinks Securities and Stocks, Inc.

DATA PRIVACY CONSENT

In compliance with the Data Privacy Act (DPA) of 2012, and its implementing Rules and Regulations (IRR) effective since September 8, 2016, I allow Globalinks Securities and Stocks, Inc. to provide me certain services declared in relation to all my transactions with Globalinks Securities and Stocks, Inc.

As such, I agree and authorize Globalinks Securities and Stocks, Inc. to:

1. To use my and my account information to process all my transactions in accordance with my instruction.
2. Retain my information for a period of ten (10) years from the date of termination of my account, or at such time that I submit to Globalinks Securities and Stocks, Inc. a written cancellation of this consent, whichever is earlier. I agree that my information will be deleted/destroyed after this period, without prejudice to existing laws and regulations.



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3. Share my information to affiliates and necessary third parties for any legitimate business purpose. I am assured that security systems are employed to protect my information.
4. Inform me of future customer campaigns and base its offer using the personal information I shared with the company

I also acknowledge and warrant that I have acquired the consent from all parties relevant to this consent and hold free and harmless and indemnify Globalinks Securities and Stocks, Inc. from any complaint, suit, or damages which any party may file or claim in relation to my consent.

Signed this _____ day of _____ 20____ at _____ City.

Client Signature Over Printed Name

Date

To be filled out by Globalinks:

Customer's Code _____

PSE Code _____

Marketing Consent

Kindly check (✓) appropriate box to indicate your consent.

- ☐ Yes, I allow Globalinks Securities and Stocks, Inc. and its third-party agents ex. (Salesman, Trader) to use my personal information for future customer campaigns.
- ☐ No, I do not allow Globalinks Securities and Stocks, Inc. and its third-party agents ex. (Salesman, Trader) to use my personal information for future customer campaigns.

Date _____

GLOBALINKS SECURITIES & STOCKS, INC.

Unit 1801 PSE Tower 5th Avenue corner 28th Street
Bonifacio Global City, Taguig City

Attention : JOSE IVAN T. JUSTINIANO
Managing Director

Subject : Request for the creation of Name-on Central Depository (NoCD) sub-account

Dear Mr. Justiniano,

This pertains to your Real Estate Investment Trust or the "REIT" with Globalinks Securities and Stocks, Inc.

I/We fully understand that pursuant to the requirements, a client or subscriber account of the REIT issue must be created in the Philippine Depository and Trust Corporation (PDTC) a Name-on Central Depository (NoCD) sub-account. Hence, I hereby give my **consent** to Globalinks Securities & Stocks, Inc. (GSSI) for my account be created in the PDTC NoCD sub-account system.

I/we shall hold GSSI harmless from any damage or claims which may arise from their reliance on my/our representation and declarations.

Thank you very much.

(Signature over Printed Name of the Client)

(Date Signed)